

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 6-K

REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 UNDER THE SECURITIES EXCHANGE ACT OF 1934

FOR THE MONTH OF JUNE 2020  
COMMISSION FILE NUMBER 001-39081

**BioNTech SE**

(Translation of registrant's name into English)

**An der Goldgrube 12 D-55131 Mainz  
Germany  
+49 6131-9084-0**

(Address of principal executive offices)

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F: Form 20-F  Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

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**DOCUMENTS INCLUDED AS PART OF THIS FORM 6-K**

On June 23, 2020, BioNTech SE (the “Company”), together with its collaborator Genentech, Inc. (“Genentech”), at the American Association for Cancer Research (AACR), presented data from a Phase 1b study sponsored by Genentech to evaluate RO7198457, an individualized Neoantigen Specific Immunotherapy (iNeST), in combination with atezolizumab in patients with locally advanced or metastatic solid tumors. The presentation is attached hereto as Exhibit 99.1.

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**SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**BioNTech SE**

By: /s/ Dr. Sierk Poetting  
Name: Dr. Sierk Poetting  
Title: Chief Financial Officer

Date: June 23, 2020


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**EXHIBIT INDEX**

Exhibit

Description of Exhibit

99.1 A Phase 1b Study to Evaluate RO7198457, an Individualized Neoantigen-Specific Immunotherapy (iNeST), in Combination With Atezolizumab in Patients With Locally Advanced or Metastatic Solid Tumors.



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# A Phase Ib Study to Evaluate RO719844 Individualized Neoantigen-Specific Immunization (iNeST), in Combination With Atezolizumab With Locally Advanced or Metastatic Solid

**Lopez J,**<sup>1</sup> Camidge DR,<sup>2</sup> Iaforla M,<sup>3</sup> Rottey S,<sup>4</sup> Schuler M,<sup>5</sup> Hellmann MD,<sup>6</sup> Balmanoukian A,<sup>7</sup> Sullivan RJ,<sup>10</sup> Henick BS,<sup>11</sup> Drake C,<sup>11</sup> Wong KM,<sup>12</sup> LoRusso P,<sup>13</sup> Ott PA,<sup>14</sup> Fong L,<sup>15</sup> Schiz Ottensmeier C,<sup>18</sup> Braiteh F,<sup>19</sup> Bendell J,<sup>20</sup> Leidner R,<sup>21</sup> Fisher G,<sup>22</sup> Jerusalem G,<sup>23</sup> Molenaar-Ku Laurie S,<sup>26</sup> Aljumaily R,<sup>27</sup> Rittmeyer A,<sup>28</sup> Gort E,<sup>29</sup> Melero I,<sup>30</sup> Mueller L,<sup>31</sup> Sabado RL,<sup>31</sup> Twomey P Zhang J,<sup>32</sup> Müller F,<sup>33</sup> Derhovanessian E,<sup>33</sup> Türeci Ö,<sup>33</sup> Sahin U,<sup>33</sup> Powles

<sup>1</sup>Royal Marsden Hospital, Sutton, UK; <sup>2</sup>Division of Medical Oncology, University of Colorado School of Medicine and Developmental Therapeutics Cancer Center, Aurora, CO; <sup>3</sup>Princess Margaret Cancer Centre, Toronto, Canada; <sup>4</sup>Cancer Research Institute Ghent (CRIG Ghent), Ghent Oncology, West German Cancer Center, University Hospital Essen, Essen, Germany; <sup>5</sup>Memorial Sloan Kettering Cancer Center, New York; <sup>6</sup>Research Institute, Santa Monica, CA; <sup>7</sup>Translational Cancer Research Unit, GZA Hospitals Sint-Augustinus, Antwerp, Belgium; <sup>8</sup>HonorHealth; <sup>9</sup>Massachusetts General Hospital, Boston, MA; <sup>10</sup>Herbert Irving Comprehensive Cancer Center, Columbia University, New York, NY; <sup>11</sup>Seaton; <sup>12</sup>Smilow Cancer Center, Yale University, New Haven, CT; <sup>13</sup>Dana-Farber Cancer Institute, Boston, MA; <sup>14</sup>UCSF Helen Diller Family Cancer Center, San Francisco, CA; <sup>15</sup>Uppsala University, Uppsala, Sweden; <sup>16</sup>Karolinska University Hospital, Stockholm, Sweden; <sup>17</sup>University of Southampton, Southampton, UK; <sup>18</sup>Cancer Center Nevada, Las Vegas, NV; <sup>19</sup>Sarah Cannon Research Institute/Tennessee Oncology, Nashville, TN; <sup>20</sup>Providence Cancer Center, University School of Medicine, Stanford, CA; <sup>21</sup>CHU Liege and Liege University, Liege, Belgium; <sup>22</sup>Netherlands Cancer Institute, Amsterdam; <sup>23</sup>Universität Mainz, Mainz, Germany; <sup>24</sup>Ottawa Hospital Cancer Centre, Ontario, Canada; <sup>25</sup>Stephenson Cancer Center, The University of Oklahoma Health Sciences Center, Oklahoma City, Oklahoma, USA; <sup>26</sup>Lungenfachklinik Immenhausen, Immenhausen, Germany; <sup>27</sup>UMC Utrecht, Utrecht, Netherlands; <sup>28</sup>University Clinic of Navarra, Centre of Health Research, Pamplona, Spain; <sup>29</sup>Genentech, Inc, South San Francisco, CA; <sup>30</sup>F. Hoffmann-La Roche, Ltd, Basel, Switzerland; <sup>31</sup>BioNTech SE, Mainz, Germany; <sup>32</sup>

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## Disclosures

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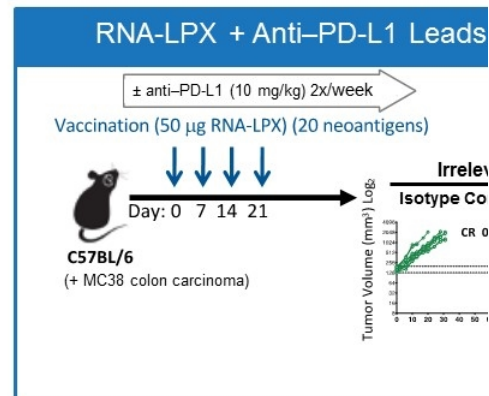
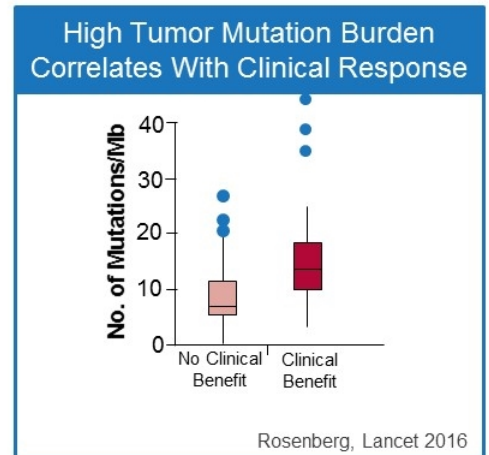
- Dr Lopez has the following relationships to disclose:
    - Research grant funding: Roche/Genentech, Basilea, Genmab
    - Ad board: Basilea
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# Cancer Mutations Are Drivers of Protective Immunity

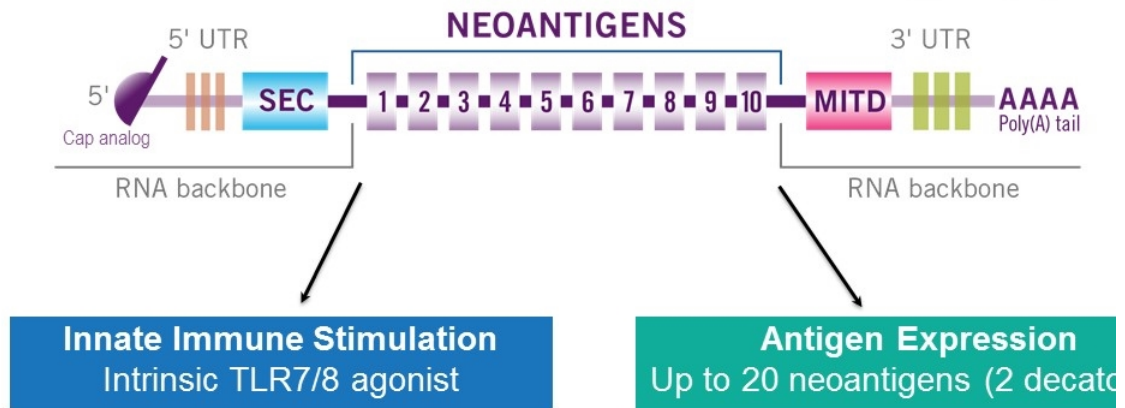
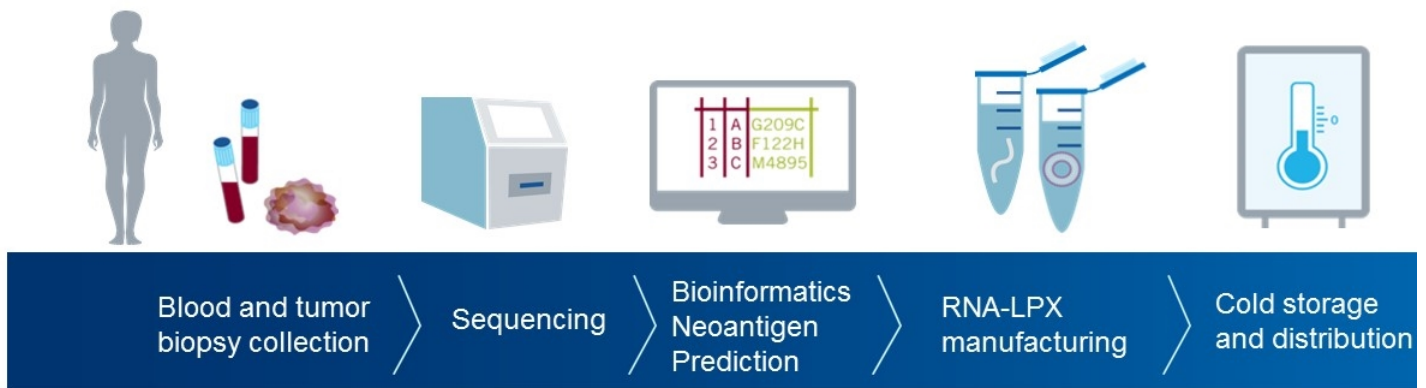
- High tumor mutation burden correlates with clinical response to immune checkpoint blockade
- Mutated neoantigens are recognized as foreign and induce stronger T-cell responses than shared antigens, likely due to the lack of central tolerance
- Most of these mutated neoantigens are not shared between patients; therefore, targeted neoantigen-specific therapy requires an individualized approach
- RO7198457<sup>a</sup> is a systemically administered RNA-Lipoplex Neoantigen Specific immunoTherapy (iNeST), designed to stimulate T-cell responses against neoantigens
- RO7198457 has the potential to increase anti-tumor activity of atezolizumab (anti-PD-L1) by expanding the number of neoantigen-specific T cells

LPX, lipoplex; MHC, major histocompatibility complex.

<sup>a</sup>Also known as RG6180.



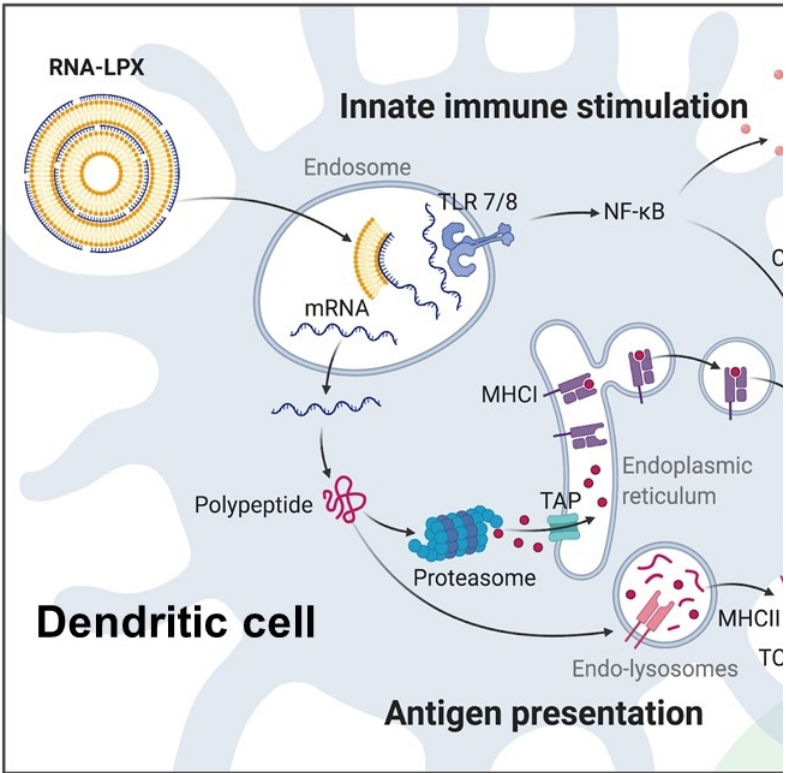
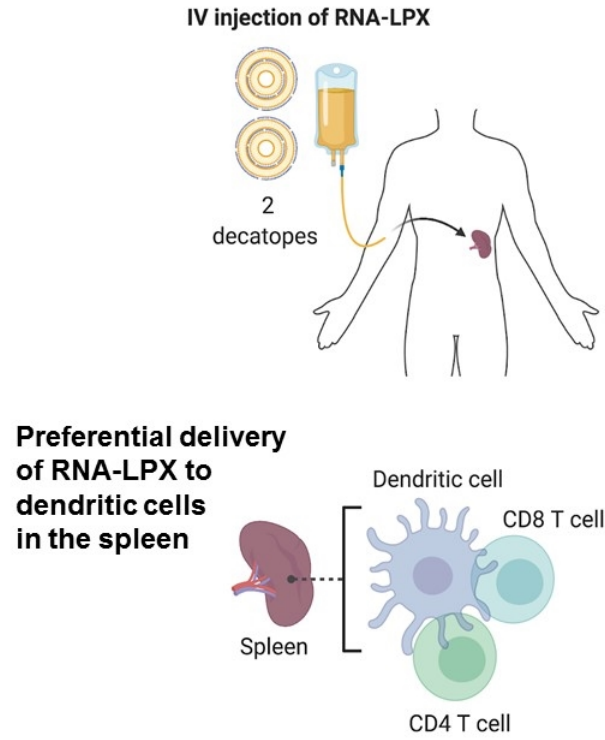
# Targeting Neoantigens Requires an Individualized Approach



Türeci et al. *Clin Canc Res.* 2016; Vormehr et al. *Annu Rev Med.* 2019; Sahin et al. *Science.* 2018.

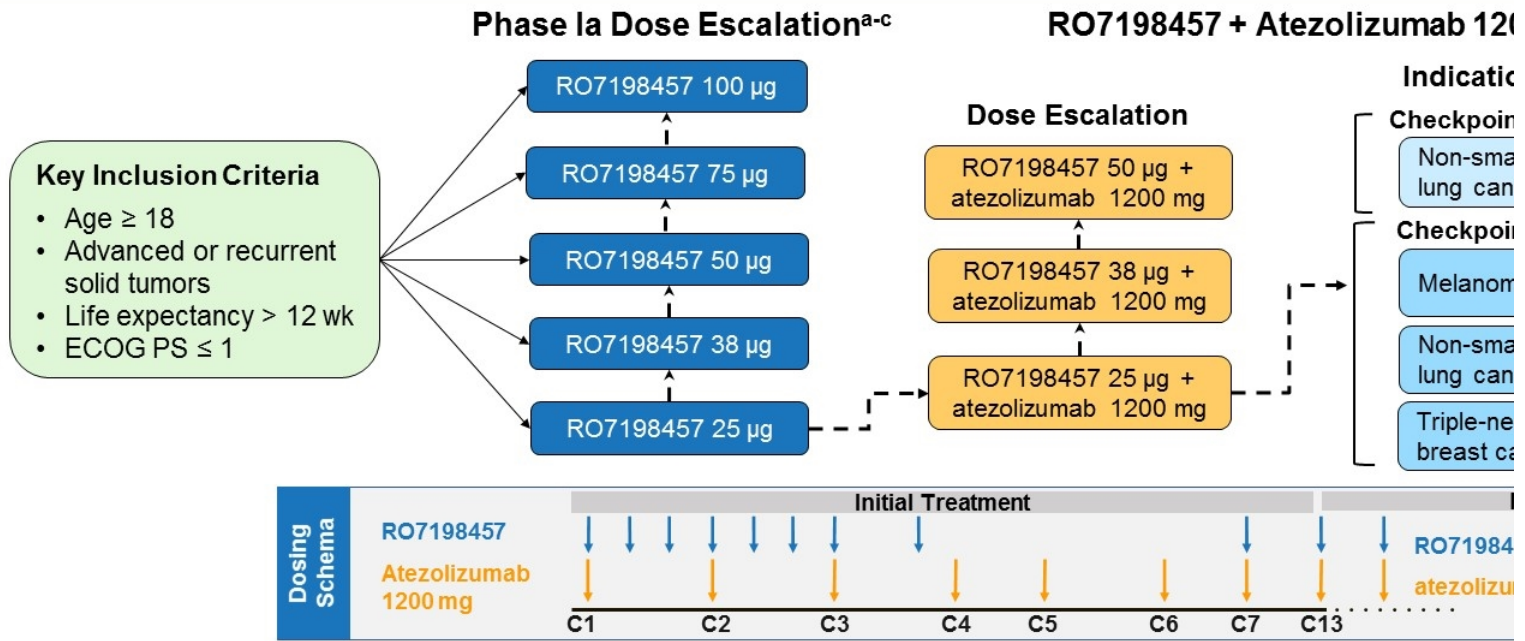


# Proposed Dual MOA of RO7198457: Innate Immune Stimulation and Neoantigen Presentation



TCR, T-cell receptor. Kranz et al. Nature. 2016.

# Phase Ib Study of RO7198457 in Combination With A in Advanced Solid Malignancies



**1 Primary objective**

- Safety and tolerability

**2 Secondary objectives**

- MTD, RP2D, pharmacodynamic activity, pre

C, cycle; DLT, dose-limiting toxicity; MTD, maximum tolerated dose; PD, progressive disease; q3w, every 3 weeks; RP2D, recommended Phase 2 dose.  
<sup>a</sup> 3 + 3 dose escalation: 21-day DLT window; backfill enrollment at cleared dose levels; <sup>b</sup> Phase Ia patients with disease progression or loss of clinical benefit may cross over to combination therapy in Phase Ib. <sup>c</sup> Braithe F, et al. AACR II 2020. Poster CT169. NCT03289962.  
 Data cutoff: January 10, 2020.

# Patient Demographics and Disease Characteristics

	Dose Escalation	Expansion
	Total (n = 30)	CPI Experienced (n = 42)
Median age (range), years	57.5 (35-77)	61.5 (36-82)
Male, n (%)	17 (56.6)	25 (59.5)
ECOG PS, n (%)		
0	15 (50.0)	19 (45.2)
1	15 (50.0)	23 (54.8)
Most common tumor types, n (%)		
Colon cancer	9 (30.0)	–
NSCLC	–	30 (71.4)
Melanoma	5 (16.7)	8 (19.0)
Rectal cancer	3 (10.0)	–
RCC	3 (10.0)	–
TNBC	–	–
UC	–	–
Median number (range) of prior systemic therapies for metastatic disease, n	4 (1 - 9)	3 (1-10)
Prior checkpoint inhibitor, n (%)	13 (43.3)	42 (100)
PD-L1 (Ventana SP142), n (%)		
< 5% IC and TC	24 (80.0)	21 (50.0)
≥ 5% IC or TC	5 (16.7)	12 (28.6)
Missing	1 (3.3)	9 (21.4)

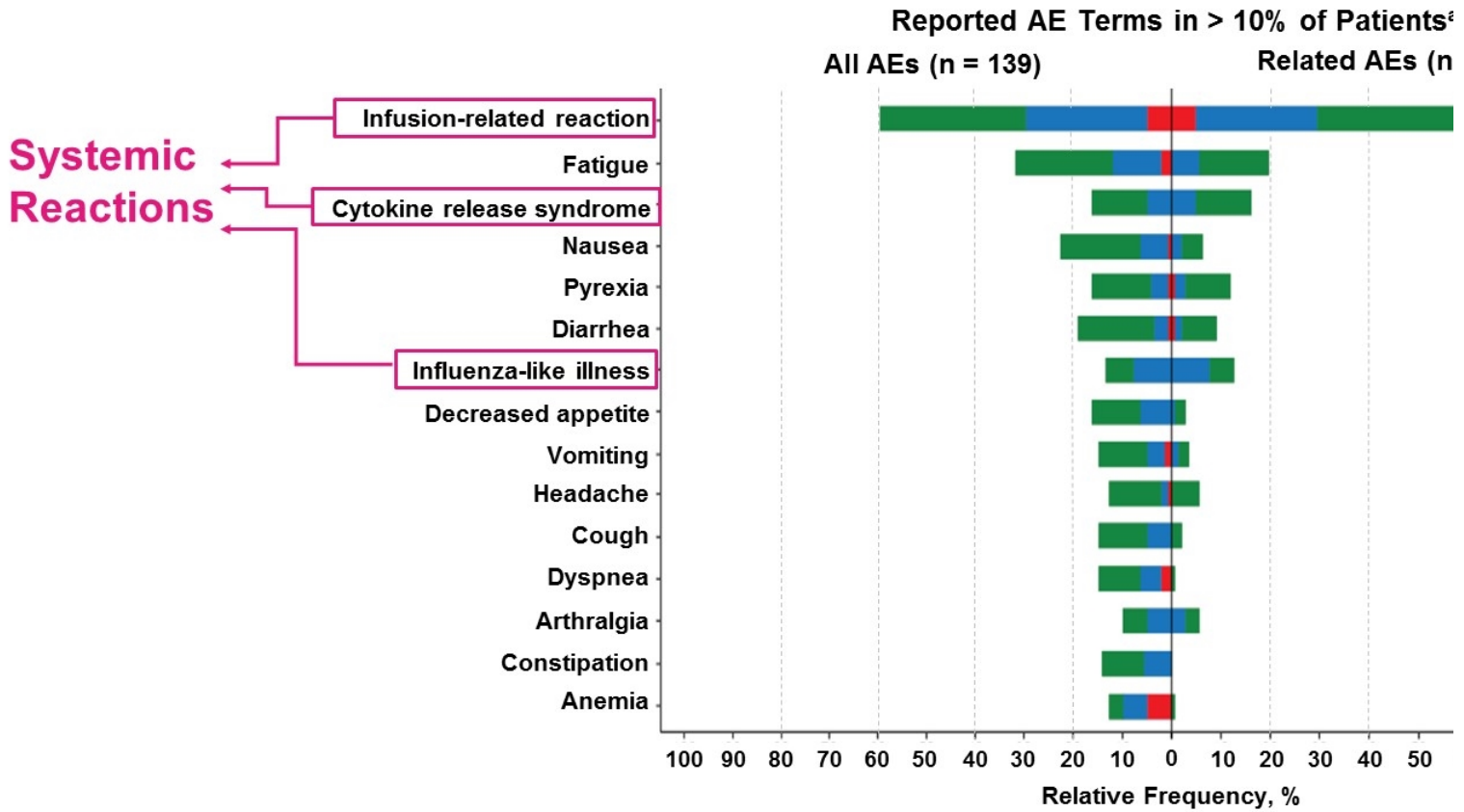
CPI, checkpoint inhibitor; IC, tumor-infiltrating immune cell; NSCLC, non-small cell lung cancer; RCC, renal cell cancer; TC, tumor cell; TNBC, triple-negative breast cancer; UC, urothelial cancer. Data cutoff: January 10, 2020.

# Patient Exposure and Disposition

	RO7198457 IV Dose + Atezolizumab 1200 mg			
	15 µg (n = 27)	25 µg (n = 95)	38 µg (n = 11)	1200 mg (n = 11)
DLT, n (%)	0	0	0	0
RO7198457 dose reduction, n (%)	1 (3.7)	2 (2.1)	1 (9.1)	2 (18.2)
Median (range) treatment duration with RO7198457, days	65 (8-253)	57 (1-400)	64 (35-441)	36 (1-441)
Median (range) treatment duration with atezolizumab, days	104 (1-316)	64 (1-462)	106 (21-504)	22 (1-504)
Continuing treatment, n (%)	9 (33.3)	22 (23.2)	2 (18.3)	2 (18.2)
Discontinued RO7198457 only, n (%)	0	1 (1.1) <sup>a</sup>	0	0
Discontinued both study treatments, n (%)	18 (66.7)	72 (75.8)	9 (81.8)	9 (72.7)
Reasons for RO7198457 discontinuation, n (%)				
Disease progression	15 (55.6)	61 (64.2)	8 (72.7)	6 (54.5)
Death <sup>b</sup>	1 (3.7)	4 (4.2)	0	0
AE	0	5 (5.3)	1 (9.1)	2 (18.2)
Withdrawal by patient	1 (3.7)	1 (1.1)	0	0
Other	1 (3.7)	2 (2.1)	0	1 (9.1)
Discontinued treatment due to disease progression prior to completing 6 weeks of therapy, n (%)	2 (7.4)	19 (20.0)	1 (9.1)	2 (18.2)

AE, adverse event. <sup>a</sup> Patient discontinued atezolizumab at the same time as RO7198457. However, atezolizumab discontinuation information was not completed until after data cut. <sup>b</sup> Four deaths were due to malignant neoplasm progression. One death was due to malignant pericardial effusion. No deaths were related to study drugs. Data cutoff: January 10, 2020.

# AEs Occurring in Patients Treated With RO7198457



- No increase in immune-mediated AEs compared with atezolizumab single-agent experience (data not shown)

<sup>a</sup> A serious AE of malignant neoplasm progression was reported in 14% of patients (data not shown). Data cutoff: January 10, 2020.

# Systemic Reactions Were Transient and Generally Mild in the Outpatient Setting

**Individual Signs and Symptoms of Systemic Reactions (CRS/IRR/ILI) in ≥ 5 Patients**

n (%)	RO7198457 IV Dose + Atezolizumab 1200 mg IV q3w				
	15 µg (n = 27)	25 µg (n = 95)	38 µg (n = 11)	50 µg (n = 9)	All Patients (N = 142)
Pyrexia	10 (37.0)	60 (63.2)	10 (90.9)	6 (66.7)	86 (60.6)
Chills	11 (40.7)	58 (61.1)	8 (72.7)	7 (77.8)	84 (59.2)
Nausea	2 (7.4)	14 (14.7)	2 (18.2)	2 (22.2)	20 (14.1)
Tachycardia	1 (3.7)	8 (8.4)	2 (18.2)	3 (33.3)	14 (9.9)
Headache	3 (11.1)	7 (7.4)	2 (18.2)	0	12 (8.5)
Vomiting	1 (3.7)	9 (9.5)	2 (18.2)	0	12 (8.5)
Hypertension	1 (3.7)	5 (5.3)	0	2 (22.2)	8 (5.6)
Hypotension	3 (11.1)	3 (3.2)	1 (9.1)	0	7 (4.9)
Myalgia	2 (7.4)	4 (4.2)	1 (9.1)	0	7 (4.9)
Back pain	0	4 (4.2)	1 (9.1)	1 (11.1)	6 (4.2)
Fatigue	1 (3.7)	4 (4.2)	0	0	5 (3.5)
Hypoxia	0	3 (3.2)	1 (9.1)	1 (11.1)	5 (3.5)

**Median Time to Resolution of Systemic Reactions**

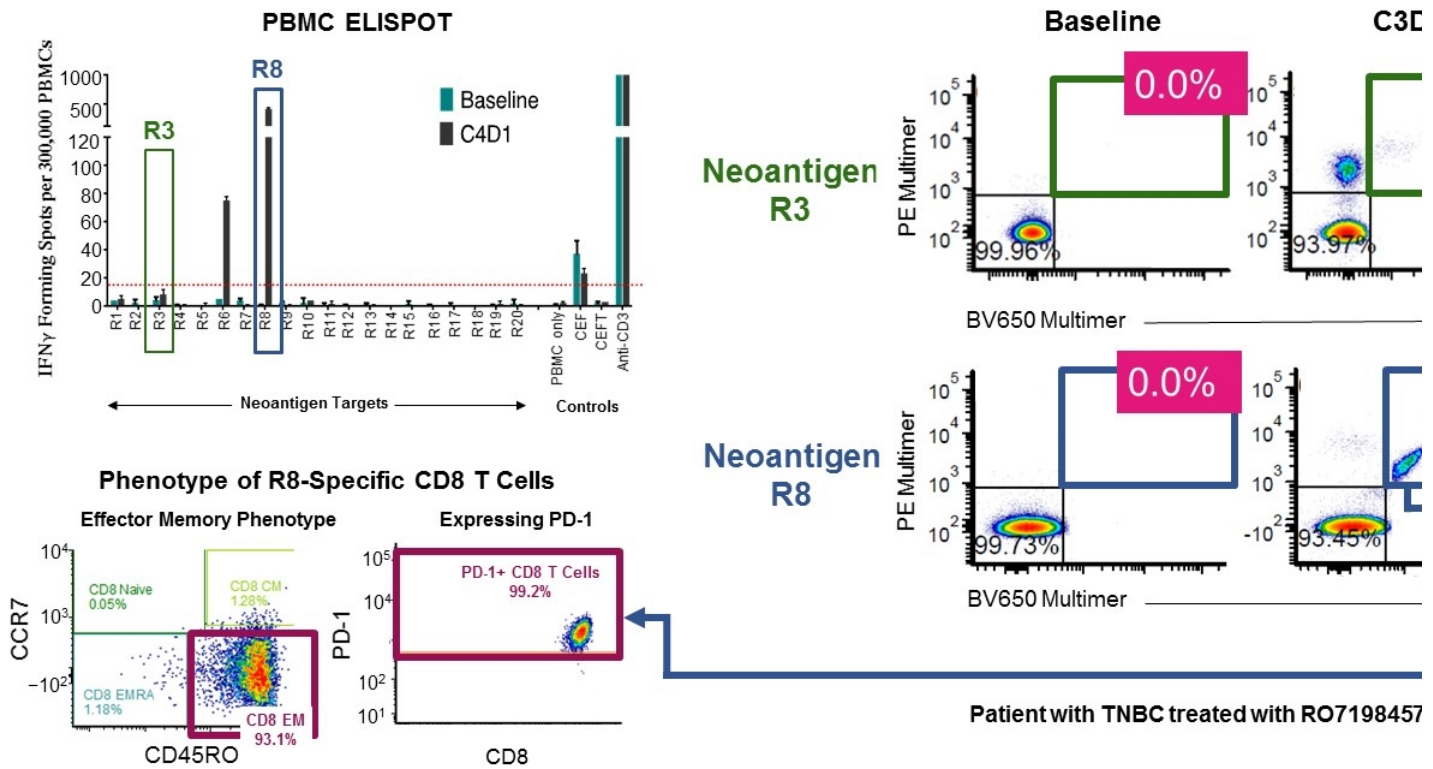
RO7198457 IV Dose + Atezolizumab 1200 mg IV q3w	Median Time to Resolution (n)
15 µg	5.7 (1)
25 µg	4.0 (1)
38 µg	4.1 (1)
50 µg	3.2 (1)

CRS, cytokine release syndrome (CTCAE v.5.0); IRR, infusion-related reaction; ILI, influenza-like illness. Data cutoff: January 10, 2020.



# Ex Vivo T-Cell Responses Induced by RO7198457 +

- The magnitude of CD8 T cells induced by RO7198457 can reach > 5% in peripheral blood, with effector phenotype and high expression of PD-1

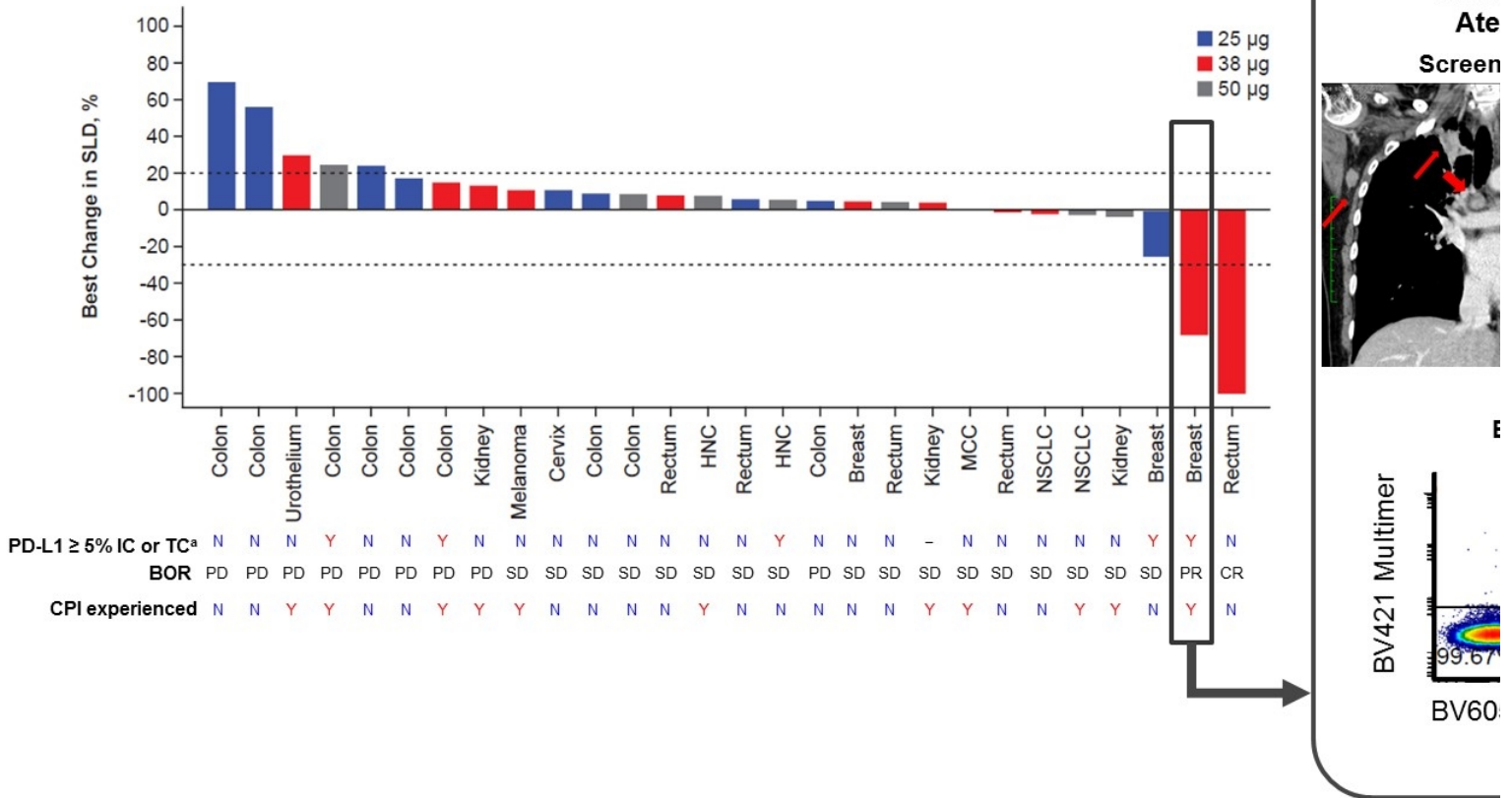


D, day; IFN, interferon; PBMC, peripheral blood mononuclear cell; PD-1, programmed death-1; SD, stable disease.

<sup>a</sup> Best response of SD; PD-L1  $\geq$  5% IC or TC.

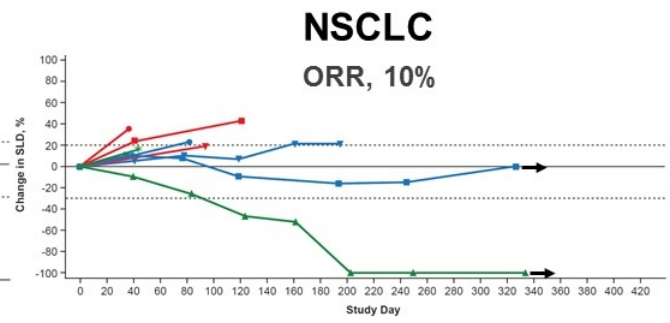
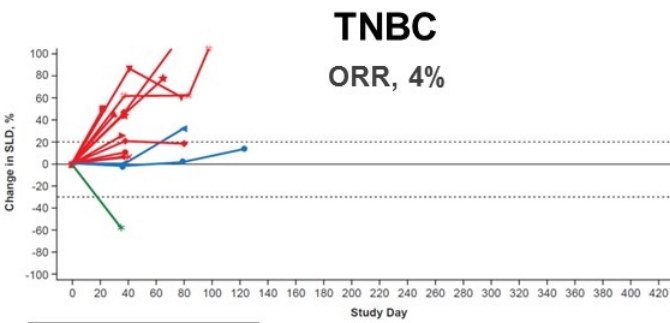
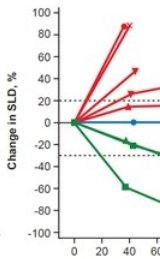
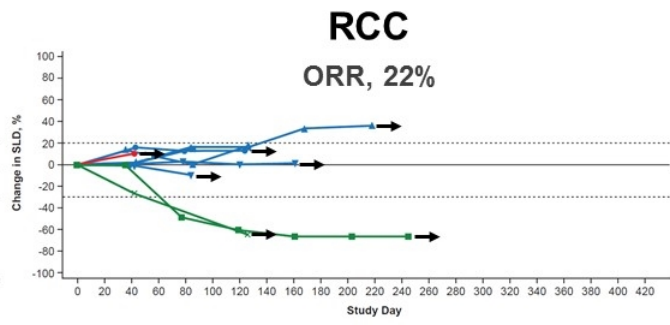
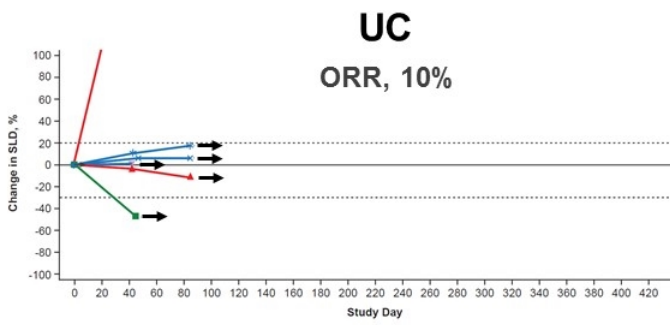


# Dose Escalation: RO7198457 + Atezolizumab Clinical



BOR, best overall response; CR, complete response; HNC, head and neck cancer; MCC, Merkel cell carcinoma; N, no; PR, partial response; Y, yes.  
<sup>a</sup> PD-L1 expression on IC/TC analyzed by the Ventana SP142 assay. Data cutoff: January 10, 2020.

# CPI-Naive Dose Expansion Activity: RO7198457 25



Cohort	n
UC	10
NSCLC	10
TNBC	22
RCC	9
Melanoma	10

ORR, objective response rate.

<sup>a</sup> PD-L1 expression on IC/TC analyzed by the Ventana SP142 assay.

Data cutoff: January 10, 2020.

# Summary and Conclusions

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- RO7198457 combined with atezolizumab was generally well tolerated
    - MTD was not reached and no DLTs were observed
    - Treatment-related AEs were primarily systemic reactions, manifesting as low-grade ILI symptoms that were transient, reversible and manageable in the outpatient setting
  - RO7198457 in combination with atezolizumab induced the release of pro-inflammatory cytokines and peripheral T-cell responses in the majority of patients
    - Preliminary evidence suggests infiltration of RO7198457–stimulated T cells into tumors; more detailed analysis of intra-tumoral immune responses is being evaluated in a biomarker cohort
  - Delineation of the efficacy of combination treatment and correlation with immune response is being investigated in 2 ongoing randomized Phase II studies of RO7198457:
    - RO7198457 + pembrolizumab for the first-line treatment of patients with metastatic NSCLC
    - RO7198457 + atezolizumab as adjuvant treatment in patients with NSCLC
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# Acknowledgments

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- We thank all of the patients who participated in this study and their families
  - We also thank the investigators and clinical research staff at the following clinical sites:
    - Royal Marsden Hospital
    - Princess Margaret Cancer Centre
    - University Hospital Essen
    - The Angeles Clinic and Research Institute
    - HonorHealth Research Institute
    - Herbert Irving Comprehensive Cancer Center, Columbia University
    - Smilow Cancer Center, Yale University
    - UCSF Helen Diller Family Comprehensive Cancer Center
    - Karolinska University Hospital
    - Comprehensive Cancer Center Nevada
    - Providence Cancer Center EACRI
    - CHU Liege and Liege University
    - Johannes Gutenberg-Universität Mainz
    - Stephenson Cancer Center, The University of Oklahoma
    - UMC Utrecht
    - Barts Cancer Institute
    - University of Colorado Cancer Center
    - Cancer Research Institute GlaxoSmithKline
    - Memorial Sloan Kettering Cancer Center
    - Translational Cancer Research Center
    - Massachusetts General Hospital
    - Seattle Cancer Care Alliance
    - Dana-Farber Cancer Institute
    - Uppsala University
    - University of Southampton
    - Sarah Cannon Research Institute
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